

JAN 13 2003



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

26/8

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application No. 09/898,896

Filing Date July 3, 2001

RECEIVED

First Named Inventor Tony Haber

JAN 17 2003

Group Art Unit 2161

GROUP 3600

Examiner Name Not Assigned

Total Number of Pages in This Submission

Attorney Docket Number 4606P004

## ENCLOSURES (check all that apply)

 Fee Transmittal Form Assignment Papers (for an Application) After Allowance Communication to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please identify below): Express Abandonment Request Terminal Disclaimer

- Preliminary Amendment
- Check for \$648.00
- Return Receipt Postcard

 Information Disclosure Statement Request for Refund PTO/SB/08 CD, Number of CD(s) Certified Copy of Priority Document(s)

Remarks

 Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Paul A. Mendonsa, Reg. No. 42,879

BLAKEY, SOKOLOFF, TAYLOR &amp; ZAFMAN LLP

Signature

Date

January 6, 2003

## CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: **January 6, 2003**

Typed or printed name **Deborah L. Higham**

Signature

Date

January 6, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JAN 13 2003

U.S. PATENT &amp; TRADEMARK OFFICE

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL  
for FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 648.00)

Complete if Known

Application Number	09/898,896
Filing Date	July 3, 2001
First Named Inventor	Tony H...
Examiner Name	Not Assigned
Group/Art Unit	2161
Attorney Docket No.	4606P004

RECEIVED

JAN 17 2003

## METHOD OF PAYMENT (check one)

Check  Credit card  Money Order  Other  None  
 Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor &amp; Zafman LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	750	2001	375	Utility filing fee
1002	330	2002	165	Design filing fee
1003	520	2003	260	Plant filing fee
1004	750	2004	375	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		(\$)		

## 2. EXTRA CLAIM FEES

Total Claims	42	Extra Claims	20*	=	22	X	Fee from below	=	\$396.00	Fee Paid
Independent Claims	6	3*	=	3	X	84.00	=	\$252.00		
Multiple Dependent										

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple Dependent claim, if not paid	
1204	84	2204	42	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)		648.00	

\*\*or number previously paid, if greater, For Reissues, see below

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65
1052	50	2052	25
2053	130	2053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	410	2252	205
1253	930	2253	465
1254	1,450	2254	725
1255	1,970	2255	985
1404	320	2401	160
1402	320	2402	160
1403	280	2403	140
1451	1,510	2451	1,510
1452	110	2452	55
1453	1,300	2453	650
1501	1,300	2501	650
1502	470	2502	235
1503	630	2503	315
1460	130	2460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	750	1809	375
1810	750	2810	375
1801	750	2801	375
1802	900	1802	900
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			

Complete (if applicable)

Name (Print/Type)	Paul A. Mendonsa	Registration No. (Attorney/Agent)	42,879	Telephone	(503) 684-6200
Signature				Date	01/06/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 12 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No.: 004606.P004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Haber, et al. )  
Application No: 09/898,896 )  
Filed: July 3, 2001 )  
For: SYSTEM AND RELATED METHODS TO )  
FACILITATE DYNAMICALLY )  
COLLABORATIVE COMMERCE OVER )  
A DATA NETWORK )

Examiner: Not Yet Assigned

Art Unit: 2161

*Ronanne Haber*  
#6/PAC  
Jan. 17, 2003

RECEIVED

JAN 17 2003

GROUP 3600

Commissioner For Patents  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Prior to examining the present U.S. Patent application, please enter this  
amendment.

IN THE CLAIMS

*Please add the following new claims:*

*2. (New) An apparatus comprising:*  
a storage medium to store a plurality of collaboration rules; and  
a collaboration agent, to provide an interface through which digitally disparate  
sellers, dealers and/or manufacturers agree to selectively participate in commercial  
transactions for requesting users, and to enable each of the sellers, dealers and/or  
manufacturers to define terms and conditions under which they selectively participate

01/15/2003 DEMMANU1 00000092 09898896

01 FC:1201  
02 FC:1202

252.00 OP  
396.00 OP